



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jeffrey Rodman et al.  
SERIAL NO.: 10/072,797  
FILING DATE: February 7, 2002  
TITLE: System and Method for Secured Data Transmission Within a  
Wireless Communication System  
EXAMINER: Unknown  
GROUP ART UNIT: 2131  
ATTY.DKT.NO.: PA1094US

**COPY OF PAPERS  
ORIGINALLY FILED**

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BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO THE NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts of Nonprovisional Application dated March 29, 2002, received in the above-identified patent application, Applicants are enclosing:

- (1) A copy of the Notice to File Missing Parts;
- (2) A Declaration and Power of Attorney; and
- (3) A check for the missing parts surcharge.

Applicants hereby request the United States Patent and Trademark Office correct its records and issue a corrected filing receipt reciting the inventorship of the above referenced patent to include Jeffrey Rodman and Gil Pearson. Such joint inventorship is reflected in the enclosed Declaration and Power of Attorney.

Respectfully submitted,

Jeffrey Rodman et al.

Date: 5/2/02

By: Susan Yee  
Susan Yee, Reg. No. 41,388  
Carr & Ferrell, LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
TEL: (650) 812-3400  
FAX: (650) 812-3444

#### CERTIFICATE OF MAILING

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Date: 5/2/02

By: Susan Yee  
Susan Yee, Reg. No. 41,388



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,797	02/08/2002	Jeffrey Rodman	PA1094US

22830  
CARR & FERRELL LLP  
2225 EAST BAYSHORE ROAD  
SUITE 200  
PALO ALTO, CA 94303



CONFIRMATION NO. 3595

## FORMALITIES LETTER



\*OC00000007742815\*

Date Mailed: 03/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

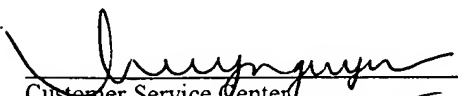
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/072,797

Filing Date February 7, 2002

First Named Inventor Jeffrey Rodman

Group Art Unit 2131

Examiner Name Unknown

Total Number of Pages in This Submission

13

Attorney Docket Number PA1094US

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence  
Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
identify below):

Postcard

Check

Declaration and Power of  
Attorney

Remarks

Total page number does not include postcard and check.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Carr & Ferrell, LLP Cust. No. 22830

Signature

*Susan Yee*

Reg. No. 41,388

Date

May 21, 2002

## CERTIFICATE OF MAILING

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Typed or printed name

Susan Yee, Reg. No. 41,388

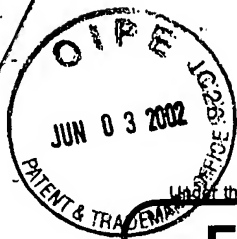
Signature

*Susan Yee*

Date

May 21, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (10-01)

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$)**130.00****Complete if Known**

Application Number	10/072,797
Filing Date	February 7, 2002
First Named Inventor	Jeffrey Rodman
Examiner Name	Unknown
Group Art Unit	2131
Attorney Docket No.	PA1094US

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

06-0600

Deposit  
Account  
Name

Carr &amp; Ferrell, LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
-------------	-------------	-------------	-------------	-----------------

101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

**Fee Paid****SUBTOTAL (1)** (\$)**0****2. EXTRA CLAIM FEES**

Total Claims =	Extra Claims	Fee from below	Fee Paid	
20	= 0	x	= 0	
Independent =	3	= 0	x	= 0
Claims				
Multiple Dependent				= 0

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
-------------	-------------	-------------	-------------	-----------------

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**(\$)**0**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

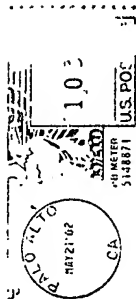
\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**130****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Susan Yee	Registration No. (Attorney/Agent)	41,388	Telephone	(650) 812-3400
Signature	<i>Susan Yee</i>			Date	May 21, 2002

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CARR & FERRELL LLP  
ATTORNEYS AT LAW

2225 EAST BAYSHORE ROAD, SUITE 200, PALO ALTO, CA 94303  
TELEPHONE (650) 812-3400; FACSIMILE (650) 812-3444

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